



Louisiana

Office of the Governor
Auctioneers Licensing Board

John Bel Edwards
Governor

July 24, 2018

Diane Rose
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Dear Ms. Rose,

The Board is in receipt of your emails pertaining to your frustration with the hearing process. This Board's duty by statute is to protect the public. An investigation is on-going regarding the complaint you filed on behalf of Mr. Moore. That hearing will be set once all of the information has been received.

Please find below the answers to the questions you submitted via email.

1. Does Wayne Cauthron have a valid FFL license? Although no firearms belonging to Mr. Moore were auctioned, there were numerous firearms included with his motorcycle and tractor auction.

This license is not issued by this office. You will need to request this information directly from the issuing office.

2. Does Wayne Cauthron have a valid Auction Dealer License and a minimum \$20,000 surety bond (\$20K required for titled vehicles)? Motorcycle, trailer, and tractor are all titled vehicles requiring a valid DEALER license.

This license is not issued by this office. You will need to request this information directly from the issuing office.

3. How were each of Mr. Moore's auction/s advertised or marketed?

This office does not review advertisements for upcoming auctions unless a complaint about the advertisements is filed.

4. Did LALB request records showing who won each item and the amount they paid?

This investigation is ongoing. Those records will be released upon the scheduling of any hearing in that matter.

5. What was the date and location of each auction and what items were in each auction?

This investigation is ongoing. Those records will be released upon the scheduling of any hearing in that matter.

6. How many bidders attended each auction involving Mr. Moore's property?

This investigation is ongoing. Those records will be released upon the scheduling of any hearing in that matter.

7. How many of the bidders in attendance were personal friends of Mr. Cauthron's?

This office would have no knowledge of the identity of Mr. Cauthron's friends.

8. Has Mr. Cauthron and/or his father (or C & C Auction Company) had any other complaints or violations?

There are no other complaints filed.

9. How many complaints initiated by consignors has LALB received in the last 5 years?

Closed complaints filed by consignors are subject to Louisiana Public Records Laws. If you would like to view the records, please inform this office. A search for the matching records will begin. Once the records are compiled, you will be contacted. You may then either schedule an appointment to view the records at our office or you may request the records be sent to you at a cost of .25 cents per page plus postage. The price of reproduction is set by the Division of Administration.

10. How many of those complaints did the Board decide in favor of the consignor, in the last 5 years?

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11. How many cases decided in favor of the consignor were issued bond payment, in the last 5 years?

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12. Would Mr. Cauthron's surety bond be null and void if he failed to adhere to auction laws and regulations? Would you send me a copy of the surety bond?

Surety bonds only become null and void if a claim and payment are made against it or if the bond is canceled by either the auctioneer or surety.

You may either schedule an appointment to view the records at our office or you may request the records be sent to you at a cost of .25 cents per page plus postage. The price of reproduction is set by the Division of Administration.

13. What is the average length of time after a complaint is filed until it's finalized by LALB? (average over the last 5 years)

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14. Does Mr. Cauthron possess the proper licensing requirements for Poultry auctions? (Livestock Auction Market Permits, bond, health certificates, etc.)

This license is not issued by this office. You will need to request this information directly from the issuing office.

Please let me know how you would like to proceed.

Sincerely,



Sandy Edmonds

Sent via certified mail/return receipt #70072560000115479183